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11058 U.S. PTO

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PTO/SB/05 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(h))</small>	Attorney Docket No.	SSBI-P01-003
	First Inventor	Robin L. Parsons
	Title	VERIFICATION OF NET ASSET VALUES
	Express Mail Label No.	EL 805 340 793 US

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231
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1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings *(if filed)*
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total]
5. Oath or Declaration [Total Pages]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

7. CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*
- 8 Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS	
9. <input checked="" type="checkbox"/>	Assignment Papers (cover sheet & document(s))
10. <input type="checkbox"/>	37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i>
11. <input type="checkbox"/>	English Translation Document <i>(if applicable)</i>
12. <input type="checkbox"/>	Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
13. <input type="checkbox"/>	Preliminary Amendment
14. <input checked="" type="checkbox"/>	Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>
15. <input type="checkbox"/>	Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>
16. <input type="checkbox"/>	Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. <input checked="" type="checkbox"/>	Other: Certificate of Express Mail

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____ / _____

Prior application information: Examiner _____ Group / Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label 28120 or Correspondence address below

(Insert Customer Number or Bar Code Label here)

Name	Edward J. Kelly, Esq.			
	Ropes & Gray			
Address	IP Group			
	One International Place			
City	Boston	State	Massachusetts	Zip Code 02110
Country	United States	Telephone	617-951-7000	Fax 617-951-7050

Name (Print/Type) Edward J. Kelly	Registration No. (Attorney/Agent) 38,936
Signature	Date November 27, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

Complete if Known

Application Number	Not Yet Assigned
Filing Date	Filed Herewith
First Named Inventor	Parsons, et al.
Examiner Name	Not Yet Assigned
Group Art Unit	Not Yet Assigned
Attorney Docket No.	SSBI-P01-003

TOTAL AMOUNT OF PAYMENT \$962.00**METHOD OF PAYMENT**

- 1.
-
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **18-1945**

Deposit Account Name

-
- Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

 Applicant claims small entity status See 37 CFR § 1.27

- 2.
-
- Payment Enclosed:

 Check Credit card Money Order Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
101	201	740	370 Utility filing fee	740.00
106	206	330	165 Design filing fee	
107	207	510	255 Plant filing fee	
108	208	740	370 Reissue filing fee	
114	214	160	80 Provisional filing fee	
SUBTOTAL (1)				\$740.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
23	-20** = 3	18.00	54.00
5	-3** = 2	84.00	168.00
Multiple Dependent		0.00	0.00

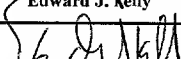
Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
103	203	18	9 Claims in excess of 20	
102	202	84	42 Independent claims in excess of 3	
104	204	280	140 Multiple dependent claim, if not paid	
109	209	84	42 ** Reissue independent claims over original patent	
110	210	18	9 ** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				\$222.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
105	205	130	65 Surcharge - late filing fee or oath	
127	227	50	25 Surcharge - late provisional filing fee or cover sheet	
139	239	130	130 Non - English specification	
147	247	2,520	2,520 For filing a request for <i>ex parte</i> reexamination	
112	212	920*	920* Requesting publication of SIR prior to Examiner action	
113	213	1,840*	1,840* Requesting publication of SIR after Examiner action	
115	215	110	55 Extension for reply within first month	
116	216	400	200 Extension for reply within second month	
117	217	920	460 Extension for reply within third month	
118	218	1,440	720 Extension for reply within fourth month	
128	228	1,960	980 Extension for reply within fifth month	
119	219	320	160 Notice of Appeal	
120	220	320	160 Filing a brief in support of an appeal	
121	221	280	140 Request for oral hearing	
138	238	1,510	1,510 Petition to institute a public use proceeding	
140	240	110	55 Petition to revive - unavoidable	
141	241	1,280	640 Petition to revive - unintentional	
142	242	1,280	640 Utility issue fee (or reissue)	
143	243	460	230 Design issue fee	
144	244	620	310 Plant issue fee	
122	222	130	130 Petitions to the Commissioner	
123	223	50	50 Processing fee under 37 CFR § 1.17(q)	
126	226	180	180 Submission of Information Disclosure Statement	
581	2581	40	40 Recording each patent assignment per property (times number of properties)	
146	246	740	370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	249	740	370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	279	740	370 Request for Continued Examination (RCE)	
169	269	900	900 Request for expedited examination of a design application	
Other fee (specify)				0.00
*Reduced by Basic Filing Fee Paid				
SUBTOTAL (3)				\$0.00

SUBMITTED BY

Name (Print/Type)	Edward J. Kelly	Registration No (Attorney/Agent)	38,936	Telephone	617-951-7000
Signature		Date	November 27, 2001		

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Robin L. Parsons and Thomas F. Ray

Docket No.

SSBI-P01-003

Serial No. Not Yet Assigned	Filing Date November 27, 2001	Examiner Not Yet Assigned	Group Art Unit Not Yet Assigned
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Invention: VERIFICATION OF NET ASSET VALUES

I hereby certify that the following correspondence:

Utility Patent Application Transmittal (1 page) (in duplicate); Fee Transmittal Sheet (1 page) (in duplicate); New Utility Patent Application including 24 pages of specification, 5 pages of claims, 1 page of Abstract, and 5 sheets of drawing; Executed Declaration and Power of Attorney (1 page); Recordation Cover Sheet (1 page) (in duplicate); Executed Assignment (2 pages); Certificate of Express Mail (1 page); and return receipt postcard.

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

November 27, 2001*(Date)*

MIKE CROWLEY

(Typed or Printed Name of Person Mailing Correspondence)*(Signature of Person Mailing Correspondence)*

EL 805 340 793 US

*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**